



## Fibo's Due Diligence Corporate Screening

Company name: \_\_\_\_\_

Organization type: \_\_\_\_\_

Tax ID: \_\_\_\_\_

Registration date: \_\_\_\_\_

Ultimate beneficial owner(s): \_\_\_\_\_

Registered address: \_\_\_\_\_

Company's Directors:

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Major shareholders:

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Key management:

Full name	Position

Are any of the persons mentioned politically exposed?  Yes  No

Comments: \_\_\_\_\_

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Has the Company, its owner(s), ultimate beneficial owner(s) or any of the persons mentioned, been subject to international sanctions?  Yes  No

Comments: \_\_\_\_\_

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Does the Company, or any of its subsidiaries (specify, which) perform background checks, including routine screening against applicable sanctions lists, open prosecutions against the third party(-ies), government debarment lists, on new hires, transfers, and contractors (third party due diligence)?  Yes  No

Comments: \_\_\_\_\_

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Does the Company, or any of its subsidiaries (specify, which) conduct screening of its suppliers to identify the origin of materials, goods or products that it buys from its suppliers?  Yes  No

Comments: \_\_\_\_\_

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Have any screenings resulted in any consequences for the relationship, eg. debarment(s) of a third party?  Yes  No

Comments: \_\_\_\_\_

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Is the Company aware of any matters that could give rise to it or any of its affiliates becoming the subject of an enforcement action under US, EU or other jurisdiction trade control laws?

Yes  No

Comments: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Has the Company, or persons or entities under Company's direction, supervision, responsibility, or control, in the past conduct business (export to, import from, or have other business transactions) with citizens of or legal entities from the Red list or the Yellow list of countries, as per Annex 1 in Fibo's Business Partner Management Manual or has it done so within past 3 years?  Yes  No

Comments: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Does your Company have any policy or procedures in place to prevent bribery and corruption?

Yes  No

Please describe: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Does your Company have an anonymous Whistleblower channel available to all employees?

Yes  No

Please describe: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
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Is your Company familiar with and understands its obligations under applicable anti-corruption laws?

Yes  No

Comments: \_\_\_\_\_



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Does your Company have training programs for its employees, agents, consultants, distributors, or third-party intermediaries related to relevant compliance and ethical issues??  Yes  No

Please describe: \_\_\_\_\_

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How are financial statements monitored? Does your Company make and keep books, records, and accounts, which, in reasonable detail, reflect the transactions and dispositions of assets?

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